



**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Kenneth J. Greves

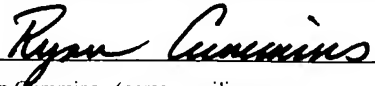
Atty. Docket: GREV / 03

Title: PERSONAL REFLECTOR

**CERTIFICATE OF MAILING BY EXPRESS MAIL - 37 CFR 1.10**

'Express Mail' mailing label number: EL887451285US  
Date of Deposit: December 10, 2001

I certify that this paper or fee (along with the enclosures noted herein) is being deposited with the United States Postal Service 'Express Mail Post Office to Addressee' service under 37 CFR 1.10 on the above date and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
By: Ryan Cummins (person mailing paper)

**UTILITY PATENT APPLICATION TRANSMITTAL**

*BOX PATENT APPLICATION*  
*Assistant Commissioner for Patents*  
*Washington, D.C. 20231*

This is a request for filing, under 37 CFR § 1.53(b), a(n):

- ☒ **Original (non-provisional) application.**  
☐ Divisional of prior application Serial No. \_\_, filed on \_\_.  
☐ Continuation of prior application Serial No. \_\_, filed on \_\_.  
☐ Continuation-in-part of prior application Serial No. \_\_, filed on \_\_.

**PRELIMINARY AMENDMENT/CALCULATION OF FEES**

- ☐ Please cancel claims \_\_ without prejudice, and prior to calculating the fees. \_\_ total claim(s), of which \_\_ is(are) independent, is(are) pending after the amendment.
- ☐ Please enter the enclosed preliminary amendment identified below prior to calculating the fees. \_\_ total claim(s), of which \_\_ is(are) independent, is(are) pending after the amendment.
- ☐ Small Entity Status is Requested
- ☒ **The Fees are Calculated as Follows:**

Fee:	Number of Claims:	In Excess of:	Extra:	At Rate:	Amount:
Total Claims	41	20	21	\$18	\$378.00
Independent Claims	3	3	0	\$84	\$0.00
MULTIPLE DEPENDENT CLAIM FEE					
BASIC FEE					\$740.00
TOTAL OF ABOVE CALCULATIONS					\$1,118.00
REDUCTION BY 50% FOR FILING BY SMALL ENTITY					
TOTAL					\$1,118.00

**ENCLOSURES**

- ☒ Utility Patent Application Transmittal Form (in duplicate) containing Certificate of Mailing By Express Mail Under 37 CFR 1.10.
- ☒ Return Postcard.

APPLICATION PAPERS

- ☒ Utility Patent Application, with: 14 page(s) specification (including 41 total claim(s), of which 3 is(are) independent), and 1 page(s) abstract.
- ☒ Drawings: 1 sheet(s) of formal drawings (2 total figure(s)).
- ☐ Microfiche Computer Program (Appendix).
- ☐ Nucleotide and/or Amino Acid Sequence, including (all are necessary): Computer Readable Copy, Paper Copy (identical to computer copy), and Statement verifying identity of copies.
- ☒ An Executed Declaration, Power of Attorney and Petition Form.
- ☐ Copy of Executed Declaration, Power of Attorney and Petition Form from prior application identified above.
- ☐ Certified Copy of priority document(s) identified as attached above.

ADDITIONAL PAPERS

- ☐ Assignment to \_\_, Recordation Cover Sheet (Form PTO-1595)
- ☐ Preliminary Amendment (to be entered prior to calculation of fees)
- ☐ Information Disclosure Statement, \_\_ sheet(s) Form PTO-1449, \_\_ U.S. Patent Reference(s), \_\_ Foreign Patent Reference(s) and \_\_ Other Reference(s)
- ☐ Other: \_\_

CHECKS

- ☒ A Check of \$1,118.00 for the filing fee.
- ☐ A Check of \_\_ for the assignment recording fee.

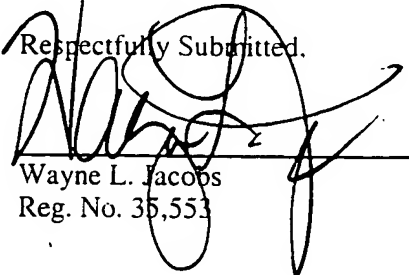
**DEPOSIT ACCOUNT AUTHORIZATION**

- ☐ Please charge Deposit Account No. 23-3000 in the amount of \_\_.
- ☒ The Commissioner is authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 23-3000. A duplicate of this transmittal is attached.
- ☐ THE PAYMENT OF FEES IS BEING DEFERRED.

WOOD, HERRON & EVANS, L.L.P.  
2700 Carew Tower  
Cincinnati, Ohio 45202  
(513) 241-2324

WLJ/mad

Respectfully Submitted,

  
Wayne L. Jacobs  
Reg. No. 35,553

12/10/01

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HON. COMMISSIONER OF

REMITTANCE ADVICE

.OOD, HERRON &amp; EVANS, L.L.P.

CHECK NO.: 205284

DATE	INVOICE NUMBER	DESCRIPTION	MATTER #	AMOUNT
12-10-01	GREV-03-118		GREV -00003	1,118.00
			TOTAL:	1,118.00



PATENT

I hereby certify that this correspondence is being deposited with the  
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addressed to Assistant Commissioner of Patents, Washington DC 20231  
on March 8, 2002

Wayne L. Jacobs, 35,553

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial Number: 10/016,468  
Filed: December 10, 2001  
Group Art Unit: 2875  
Examiner:  
Applicant: Kenneth J. Greves  
Title: Personal Reflector

Cincinnati, Ohio 45202

March 8, 2002

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

ASSERTION OF ENTITLEMENT TO SMALL ENTITY STATUS

The above-identified patent application was filed in the U.S. Patent and  
Trademark Office on December 10, 2001.

Applicant, Kenneth J. Greves, is the independent inventor of the above-  
identified invention and is therefore entitled to small entity status.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

By:

Wayne L. Jacobs  
Reg. No. 35,553

2700 Carew Tower  
Cincinnati, OH 45202  
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DEP&REF  
#307

PATENT

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Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

US PATENT & TRADEMARK  
OFFICE  
REFUND  
MAR 26 PM 5:17  
CINCINNATI

REQUEST FOR REFUND OF PORTION OF FILING FEE

The above-identified patent application was filed in the U.S. Patent and Trademark Office on December 10, 2001, with Check No. 205284 for the \$1,118.00 filing fee (copy enclosed.) Also enclosed is a copy of the Utility Application Transmittal as filed on December 10, 2001.

Attached is an Assertion Of Entitlement To Small Entity Status executed by the undersigned. Since the Applicant is an independent inventor who is entitled to small entity status, the filing fee should have been \$559.00 instead of \$1118.00. Therefore, a refund of \$559.00 is due Applicant, in accordance with 37 C.F.R. §§1.27 and 1.28, since the Assertion Of Entitlement To Small Entity Status is being filed within three months of the date of the filing fee. Please send us a refund check in the

amount of \$559.00 or, in the alternative, credit our Deposit Account No. 23-3000 in  
the amount of \$559.00.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

By: 

Wayne L. Jacobs  
Reg. No. 35,553

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